

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

06/14/00

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on June 14, 2000 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL132614714US, addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.: CCTYP001

First Named Inventor: LORENZEN

jc815 U.S. PTO  
09/594213  
06/14/00

Alison Gates

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
(Regular application claiming priority of a provisional)

Box Patent Application

Assistant Commissioner for Patents

Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
Lee Jay LORENZEN and Jordan ZIMMERMAN

For: MULTI-VENDOR INTERNET COMMERCE SYSTEM FOR E-COMMERCE APPLICATIONS  
AND METHODS THEREFOR

Priority of U.S. Provisional Application No. 60/141,905 filed on June 30, 1999, is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ 92 Pages of Specification, Claims and Abstract,  
including attachments A1 – A46 and B1 – B14.
- ☒ 18 Sheets of **informal** Drawings
- ☒ Combined Declaration and Power of Attorney
- ☐ Separate Declaration

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Small Entity Statement(s)
- ☐ Other:

Claim For Foreign Priority

☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
is claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed.

☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

"This application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_  
filed on \_\_\_\_\_, the disclosure of which is incorporated herein by reference."

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	FEE	OR	LARGE ENTITY RATE	FEE
BASIC FEE			\$345	\$ 345.00	OR	\$690	\$
TOTAL CLAIMS	18 -20 =	0	x9 =	\$ 0.00	OR	x18 =	\$
INDEP CLAIMS	-03 =		x39 =	\$ 0.00	OR	x78 =	\$
Multiple Dependent Claim Presented			\$130 =	\$ 0.00	OR	\$260 =	\$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$ 345.00	OR	Total	\$

☒ Checks No. 1371 and 1372, in the amounts of \$345.00 and \$40.00, respectively, are enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0388 (Order No. CCTYP001).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. CCTYP001).

☒ Please send correspondence to the following address:

**Customer Number 022434**  
BEYER WEAVER & THOMAS, LLP  
P.O. Box 130  
Mountain View, CA 94042-0130  
Telephone (650) 961-8300  
Fax (650) 961-8301



Date: 6-14-2000

Jeffrey D. Wheeler  
Jeffrey D. Wheeler  
Registration No. 39,066

Applicant/Patentee: Lee Jay Lorenzen

Application or Patent No. Filed Herewith

Atty Do # CCTYP001

Filed or Issued: Herewith

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) and 1.27(c)--SMALL BUSINESS CONCERN

I hereby declare that I am

☒ [X] the owner of the small business concern identified below:

☐ [ ] an official empowered to act on behalf of the small business concern identified below:

NAME OF CONCERN: CATALOG CITY, INC.

ADDRESS: 510 Lighthouse Avenue, Pacific Grove, CA 93950

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 41(a) and (b) of Title 35, U.S. Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: MULTI-VENDOR INTERNET COMMERCE SYSTEM FOR E-COMMERCE APPLICATIONS AND METHODS THEREFOR, by inventor(s) Lee Jay Lorenzen and Jordan Zimmerman, described in

☒ [X] the specification filed herewith.

☐ [ ] Application No. \_\_\_\_\_ filed \_\_\_\_\_

☐ [ ] patent # \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*Note: separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ [ ] individual ☐ [ ] small business concern ☐ [ ] nonprofit organization

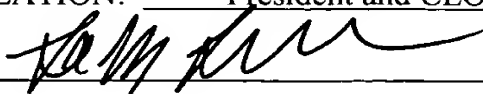
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 1001 of Title 18 of the U.S. Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Lee Jay LORENZEN

TITLE IN ORGANIZATION: President and CEO

SIGNATURE



DATE 6-9-00